

extended interpretation specifies a domain element to which x refers.

This sounds complicated, but it is really just a careful way of stating the intuitive meaning of universal quantification. Consider the model shown in Figure 8.2 and the interpretation that goes with it. We can extend the interpretation in five ways:

- $x \rightarrow$ Richard the Lionheart,
- $x \rightarrow$ King John,
- $x \rightarrow$ Richard's left leg,
- $x \rightarrow$ John's left leg,
- $x \rightarrow$ the crown.

The universally quantified sentence $\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$ is true in the original model if the sentence $\text{King}(x) \Rightarrow \text{Person}(x)$ is true under each of the five extended interpretations. That is, the universally quantified sentence is equivalent to asserting the following five sentences:

- Richard the Lionheart is a king \Rightarrow Richard the Lionheart is a person.
- King John is a king \Rightarrow King John is a person.
- Richard's left leg is a king \Rightarrow Richard's left leg is a person.
- John's left leg is a king \Rightarrow John's left leg is a person.
- The crown is a king \Rightarrow the crown is a person.

Let us look carefully at this set of assertions. Since, in our model, King John is the only king, the second sentence asserts that he is a person, as we would hope. But what about the other four sentences, which appear to make claims about legs and crowns? Is that part of the meaning of "All kings are persons"? In fact, the other four assertions are true in the model, but make no claim whatsoever about the personhood qualifications of legs, crowns, or indeed Richard. This is because none of these objects is a king. Looking at the truth table for \Rightarrow (Figure 7.8 on page 246), we see that the implication is true whenever its premise is false—*regardless* of the truth of the conclusion. Thus, by asserting the universally quantified sentence, which is equivalent to asserting a whole list of individual implications, we end up asserting the conclusion of the rule just for those objects for whom the premise is true and saying nothing at all about those individuals for whom the premise is false. Thus, the truth-table definition of \Rightarrow turns out to be perfect for writing general rules with universal quantifiers.

A common mistake, made frequently even by diligent readers who have read this paragraph several times, is to use conjunction instead of implication. The sentence

$$\forall x \text{ King}(x) \wedge \text{Person}(x)$$

would be equivalent to asserting

- Richard the Lionheart is a king \wedge Richard the Lionheart is a person,
- King John is a king \wedge King John is a person,
- Richard's left leg is a king \wedge Richard's left leg is a person,

and so on. Obviously, this does not capture what we want.

Existential quantification (\exists)

Universal quantification makes statements about every object. Similarly, we can make a statement about *some* object in the universe without naming it, by using an existential quantifier. To say, for example, that King John has a crown on his head, we write

$$\exists x \text{ Crown}(x) \wedge \text{OnHead}(x, \text{John}).$$

$\exists x$ is pronounced "There exists an x such that . . ." or "For some x . . .".

Intuitively, the sentence $\exists x P$ says that P is true for at least one object x . More precisely, $\exists x P$ is true in a given model if P is true in *at least one* extended interpretation that assigns x to a domain element. That is, at least one of the following is true:

- Richard the Lionheart is a crown \wedge Richard the Lionheart is on John's head;
- King John is a crown \wedge King John is on John's head;
- Richard's left leg is a crown \wedge Richard's left leg is on John's head;
- John's left leg is a crown \wedge John's left leg is on John's head;
- The crown is a crown \wedge the crown is on John's head.

The fifth assertion is true in the model, so the original existentially quantified sentence is true in the model. Notice that, by our definition, the sentence would also be true in a model in which King John was wearing two crowns. This is entirely consistent with the original sentence "King John has a crown on his head."⁷

Just as \Rightarrow appears to be the natural connective to use with \forall , \wedge is the natural connective to use with \exists . Using \wedge as the main connective with \forall led to an overly strong statement in the example in the previous section; using \Rightarrow with \exists usually leads to a very weak statement, indeed. Consider the following sentence:

$$\exists x \text{ Crown}(x) \Rightarrow \text{OnHead}(x, \text{John}).$$

On the surface, this might look like a reasonable rendition of our sentence. Applying the semantics, we see that the sentence says that at least one of the following assertions is true:

- Richard the Lionheart is a crown \Rightarrow Richard the Lionheart is on John's head;
- King John is a crown \Rightarrow King John is on John's head;
- Richard's left leg is a crown \Rightarrow Richard's left leg is on John's head;

and so on. Now an implication is true if both premise and conclusion are true, *or if its premise is false*. So if Richard the Lionheart is not a crown, then the first assertion is true and the existential is satisfied. So, an existentially quantified implication sentence is true whenever *any* object fails to satisfy the premise; hence such sentences really do not say much at all.

Nested quantifiers

We will often want to express more complex sentences using multiple quantifiers. The simplest case is where the quantifiers are of the same type. For example, "Brothers are siblings" can be written as

$$\forall x \forall y \text{ Brother}(x, y) \Rightarrow \text{Sibling}(x, y).$$

⁷ There is a variant of the existential quantifier, usually written \exists^1 or $\exists!$, that means "There exists exactly one." The same meaning can be expressed using equality statements.

object that is the left leg of everything that has no left leg, including itself. Fortunately, as long as one makes no assertions about the left legs of things that have no left legs, these technicalities are of no import.

So far, we have described the elements that populate models for first-order logic. The other essential part of a model is the link between those elements and the vocabulary of the logical sentences, which we explain next.

8.2.2 Symbols and interpretations

We turn now to the syntax of first-order logic. The impatient reader can obtain a complete description from the formal grammar in Figure 8.3.

The basic syntactic elements of first-order logic are the symbols that stand for objects, relations, and functions. The symbols, therefore, come in three kinds: **constant symbols**, which stand for objects; **predicate symbols**, which stand for relations; and **function symbols**, which stand for functions. We adopt the convention that these symbols will begin with uppercase letters. For example, we might use the constant symbols *Richard* and *John*; the predicate symbols *Brother*, *OnHead*, *Person*, *King*, and *Crown*; and the function symbol *LeftLeg*. As with proposition symbols, the choice of names is entirely up to the user. Each predicate and function symbol comes with an **arity** that fixes the number of arguments.

As in propositional logic, every model must provide the information required to determine if any given sentence is true or false. Thus, in addition to its objects, relations, and functions, each model includes an **interpretation** that specifies exactly which objects, relations and functions are referred to by the constant, predicate, and function symbols. One possible interpretation for our example—which a logician would call the **intended interpretation**—is as follows:

- *Richard* refers to Richard the Lionheart and *John* refers to the evil King John.
- *Brother* refers to the brotherhood relation, that is, the set of tuples of objects given in Equation (8.1); *OnHead* refers to the “on head” relation that holds between the crown and King John; *Person*, *King*, and *Crown* refer to the sets of objects that are persons, kings, and crowns.
- *LeftLeg* refers to the “left leg” function, that is, the mapping given in Equation (8.2).

There are many other possible interpretations, of course. For example, one interpretation maps *Richard* to the crown and *John* to King John’s left leg. There are five objects in the model, so there are 25 possible interpretations just for the constant symbols *Richard* and *John*. Notice that not all the objects need have a name—for example, the intended interpretation does not name the crown or the legs. It is also possible for an object to have several names; there is an interpretation under which both *Richard* and *John* refer to the crown.⁴ If you find this possibility confusing, remember that, in propositional logic, it is perfectly possible to have a model in which *Cloudy* and *Sunny* are both true; it is the job of the knowledge base to rule out models that are inconsistent with our knowledge.

⁴ Later, in Section 8.2.8, we examine a semantics in which every object has exactly one name.

CONSTANT SYMBOL
 PREDICATE SYMBOL
 FUNCTION SYMBOL
 ARITY
 INTERPRETATION
 INTENDED INTERPRETATION

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Sentence → AtomicSentence | ComplexSentence
AtomicSentence → Predicate | Predicate(Term, ...) | Term = Term
ComplexSentence → ( Sentence ) | [ Sentence ]
                | ¬ Sentence
                | Sentence ∧ Sentence
                | Sentence ∨ Sentence
                | Sentence ⇒ Sentence
                | Sentence ⇔ Sentence
                | Quantifier Variable, ... Sentence

Term → Function(Term, ...)
      | Constant
      | Variable

Quantifier → ∀ | ∃
Constant → A | X1 | John | ...
Variable → a | x | s | ...
Predicate → True | False | After | Loves | Raining | ...
Function → Mother | LeftLeg | ...

OPERATOR PRECEDENCE : ¬, =, ∧, ∨, ⇒, ⇔
    
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Figure 8.3 The syntax of first-order logic with equality, specified in Backus–Naur form (see page 1060 if you are not familiar with this notation). Operator precedences are specified, from highest to lowest. The precedence of quantifiers is such that a quantifier holds over everything to the right of it.

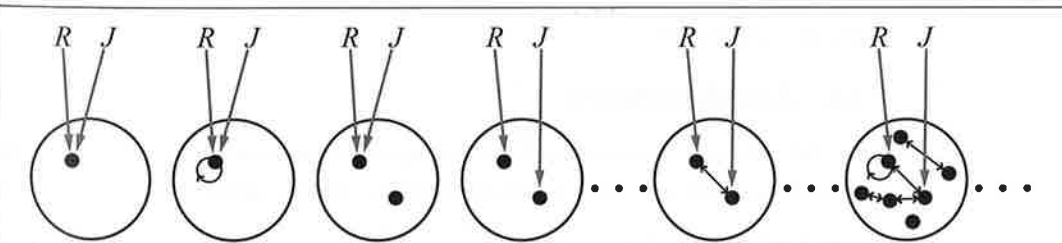


Figure 8.4 Some members of the set of all models for a language with two constant symbols, *R* and *J*, and one binary relation symbol. The interpretation of each constant symbol is shown by a gray arrow. Within each model, the related objects are connected by arrows.